



ARTICLE

Circulating PACAP peptide and PAC1R genotype as possible transdiagnostic biomarkers for anxiety disorders in women: a preliminary study

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Pituitary adenylate cyclase activating polypeptide (PACAP, gene *Adcyap1*) is a neuropeptide and hormone thought to play a critical role in stress response (Stroth et al., *Ann NY Acad Sci* 1220:49–59, 2011; Hashimoto et al., *Curr Pharm Des* 17:985–989, 2011). Research in humans implicates PACAP as a useful biomarker for the severity of psychiatric symptoms in response to psychological stressors, and work in rodent models suggests that PACAP manipulation exerts downstream effects on peripheral hormones and behaviors linked to the stress response, providing a potential therapeutic target. Prior work has also suggested a potential sex difference in PACAP effects due to differential estrogen regulation of this pathway. Therefore, we examined serum PACAP and associated PAC1R genotype in a cohort of males and females with a primary diagnosis of generalized anxiety disorder (GAD) and nonpsychiatric controls. We found that, while circulating hormone levels were not associated with a GAD diagnosis overall ($p = 0.19$, $g = 0.25$), PACAP may be associated with GAD in females ($p = 0.04$, $g = 0.33$). Additionally, among patients with GAD, the risk genotype identified in the PTSD literature (rs2267735, CC genotype) was associated with higher somatic anxiety symptom severity in females but lower somatic anxiety symptom severity in males (-3.27 , 95%CI $[-5.76, -0.77]$, adjusted $p = 0.03$). Taken together, the associations between the risk genotype, circulating PACAP, and somatic anxiety severity were stronger among females than males. These results indicate a potential underlying biological etiology for sex differences in stress-related anxiety disorders that warrants further study.

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INTRODUCTION

Pituitary adenylate cyclase activating polypeptide (PACAP, gene *Adcyap1*) is a neuropeptide and hormone thought to play a critical role in stress response both peripherally and in the brain [1, 2]. Prior work in humans indicates it may be a useful biomarker for the severity of psychiatric symptoms in response to psychological stressors, and work in animal models points to the potential utility of PACAP as a therapeutic target. Many studies have implicated PACAP and its receptor, PAC1R, in the regulation of the hypothalamic pituitary adrenal (HPA) axis. In fact, PACAP is densely expressed in both the central nervous system nuclei that regulate the HPA axis (e.g. paraventricular hypothalamus), as well as the peripheral endocrine organs (both pituitary and adrenal glands) [3–7]. Studies report that the highest concentration of PACAP is found in hypothalamic regions compared to other regions in the rodent [3, 6] and human [5] brain, where it is thought to act as a glutamatergic neuromodulator at the post-synaptic neuron [8]. Moreover, PACAP has been shown to modulate the release of corticotrophin releasing factor (CRF) from

the hypothalamus, and downstream circulating corticosterone in rodents. These represent key markers of the stress response and indicate one potential mechanism for the effect of central PACAP in the stress axis [9–11].

Similarly, preclinical studies suggest that whole-body PACAP manipulation exerts downstream effects on peripheral hormones and behaviors linked to the stress response. For example, whole-body PACAP- and PAC1R-deficient mice show reduced corticosterone and decreased anxiety-like behavior in response to a chronic stressor, suggesting that both peripheral and central PACAP are required for normal stress responding [11–16]. Interestingly, chronic stress appears to promote increased PACAP levels and to induce similar anxiety-like behaviors, as supported by increased transcript expression of *ADCYAP1* and *ADCYAP1R1* [17–19]. Together, these findings suggest that the PACAPergic system may serve as an important regulator of the stress response, and may contribute to the pathogenesis and maintenance of stress-related pathology.

Consequently, a number of groups have investigated the relationship between PACAP and stress-related conditions in

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humans characterized by HPA axis dysregulation and aberrant fear and memory processes, such as posttraumatic stress disorder (PTSD) [20–28]. Among the few studies conducted to date, findings have been mixed with some reports demonstrating significant associations between the CC genotype of *ADCYAP1R1* (rs2267735) and PTSD in traumatized women but not men [25, 27, 28]. Additionally, PTSD symptom severity and diagnostic status has been positively correlated with the circulating long form of PACAP (PACAP38) [28]. Thus, human studies also indicate a link between whole-body PACAP and stress response. Chronic stress appears to be an important trigger for these psychological and behavioral manifestations of PACAP dysfunction [24, 25, 27].

Given this evidence and the breadth of literature supporting the role of lifetime stress and maladaptive autonomic responses in anxiety disorders [29], it is possible that PACAP may confer risk for anxiety and maintain anxiety-related pathology more broadly. Generalized anxiety disorder (GAD) is a chronic anxiety condition characterized by persistent, excessive worry as well as heightened somatic and autonomic symptoms, including muscle tension or aches, increased arousal, sweating, irritability, fatigue, and concentration and sleep difficulties for at least 6 months [30, 31]. Further, the lifetime prevalence of GAD is higher among females (7.7%) than males (4.6%) [32]. While GAD is not linked to specific life events like PTSD and trauma, there is evidence it is heightened by environmental stressors, perhaps with gene–environment interactions similar to those suggested by a post-disaster study of an NPY gene (NPY rs16147) and GAD [33]. Only one study to date, however, has examined the potential role of PACAP in GAD maintenance, demonstrating that *ADCYAP1R1* genotypes A/A and A/G (SNP rs2856966) were associated with enhanced treatment response to venlafaxine XR. Individuals with genotype A/G of *ADCYAP1R1* rs2856966 demonstrated the most robust response to treatment, including greater reductions in clinical symptoms and higher rates of remission, in a sample ($N = 156$) of treatment-seeking men and women with GAD [34]. To our knowledge, no studies have investigated the association of circulating PACAP or the genotype of its receptor PAC1R with GAD diagnosis or symptom severity. However, a few studies have implicated a role for PACAP in regulation of central symptoms that are components of the diagnostic criteria for GAD, such as sleep dysregulation and somatic symptoms [31, 35, 36].

In rodents, PACAP has been shown to influence neuronal areas that regulate rapid eye movement (REM) sleep and circadian rhythms, such as the pontine reticular nucleus (PnO) and suprachiasmatic nucleus (SCN) [8], and PAC1R is highly distributed in the SCN [37–39]. Furthermore, in animals, central and peripheral PACAP manipulations have been shown to alter sympathetic nerve activity, breathing, heart rate, and headache [40–45]. Thus, PACAP system dysfunction in humans may lead to both the somatic symptoms and sleep-related difficulties commonly experienced by patients with GAD, and this gap in knowledge about the involvement of PACAP in GAD led to the studies described below.

In this paper, we present the findings of two studies: (1) an examination of circulating PACAP levels in adults with a primary diagnosis of GAD compared to a sample of participants without any psychiatric diagnosis; and (2) an investigation of PACAP levels and anxiety and stress symptoms among different PAC1R SNP genotypes in a sample of adults with a primary diagnosis of GAD. Based on prior research linking chronic stress with activation of the PACAP system, we hypothesized that circulating PACAP would be higher in people with GAD after controlling for effects of sex and other potential confounders. We further hypothesized that among patients with a primary diagnosis of GAD, the risk genotype previously identified in the PTSD literature (rs2267735, CC genotype) would be associated with differences in circulating PACAP as well as anxiety symptom severity and poor sleep quality. Additionally, we expected that the association between GAD and

circulating PACAP, as well as the association between the risk genotype and circulating PACAP, anxiety severity, and sleep quality, would be stronger among females.

MATERIALS AND METHODS

Participants

Patients with a primary diagnosis of GAD and participants without any psychiatric diagnosis (healthy controls, HC) were enrolled in a larger ancillary study at the Center for Anxiety and Traumatic Stress Disorders at the Massachusetts General Hospital between 2003 and 2017 [46–48]. Eligible participants were willing and able to sign informed consent and over the age of 18. Individuals were not eligible if they presented with a lifetime history of psychotic disorders, bipolar disorder, mental disorder due to a medical condition or substance, or met criteria for an alcohol or substance abuse or dependence disorder or eating disorders in the past 6 months. Pregnancy or lactation, unstable medical conditions, and acute suicidal risk were also exclusionary. In addition to these criteria, HCs were excluded if they met the criteria for an affective or anxiety disorder, as determined by MINI or SCID in the past 6 months.

In study 1, plasma from 203 peripheral blood samples from participants with primary GAD ($n = 91$) and healthy controls (HCs) ($n = 112$) were assayed for PACAP by radioimmunoassay. We excluded five samples (two HC and three GAD), so that participant numbers were HC $n = 110$ and GAD $n = 88$. In study 2, a subset $n = 87$ individuals with primary GAD with available DNA were genotyped for the PAC1R SNP.

Procedure

After informed consent, current and lifetime psychiatric diagnoses were assessed by a trained clinician using the Structured Clinical Interview for DSM-IV (SCID-IV) [49] or Mini International Neuropsychiatric Interview (MINI) [50]. Eligible participants completed clinician-administered and self-report clinical measures as well as peripheral blood draws for genetic and circulating biomarker analysis. All procedures were approved by the institutional review board at Partners Healthcare.

Blood processing. Blood samples were collected between 9:00 AM and 5:00 PM by trained research coordinators. Samples were centrifuged at 3500 rpm for 20 min at 4 °C. Plasma was extracted and stored at –80 °C until analysis.

Radioimmunoassay. PACAP38 radioimmunoassay was performed at the University of Vermont. Human plasma samples were concentrated on C18 Sep-Pak solid-phase cartridge minicolumns (Waters, Milford, MA) as described previously [51]. Briefly, the samples were recycled three times onto the minicolumns, washed with 0.1% trifluoroacetic acid (TFA) and eluted with 80% acetonitrile/0.1% TFA. The eluates were dried under reduced pressure and resuspended in 0.1 M sodium phosphate buffer (pH 7.4) containing 0.5% bovine serum albumin and 0.3 mg/ml phenylmethylsulfonyl fluoride, immediately before assay. Peptide recovery from the Sep-Pak cartridges was 85%. PACAP38 radioimmunoassay directed at the peptide α -amidated C-terminus (1:20,000) was performed using double antibody immunoprecipitation as previously described [52]. Each sample was assayed over a dilution range to ensure data interpolation. The assay midpoint was approximately 6.4–7.2 fmol and detection limit from the linear range of the standard curve was 0.9 fmol. In analysis, we excluded five samples (three GAD, two HC) whose RIA PACAP levels were undetectable (readout on RIA was zero). Samples with peptide levels that were detectable but low confidence from values outside of the standard curve were collapsed to the 0.9 fmol detection limit (study 1: 19 GAD, 8 HC; study 2: 2 genotype CC, 10 genotype CG, 7 genotype GG).

Genotyping. Previously extracted DNA samples isolated from whole blood from 87/91 of the participants with primary GAD from study 1 were available for inclusion in study 2. Genotyping of rs2267735, an *ADCYAP1R1* variant, was conducted via TaqMan assay.

Genotyping for rs2267735, located in an intronic region of the *ADCYAP1R1* locus, was performed in the Ressler laboratory using a TaqMan R assay (Life Technologies Corporation, Carlsbad, CA) and run on the Applied Biosystems™ QuantStudio™ 6 Flex Real-Time PCR System. Individuals who conducted genotyping were blinded to participants' diagnoses.

Measures

The Hamilton Anxiety Rating Scale (HAM-A) [53, 54] was administered by trained clinicians to assess overall anxiety symptom severity, as well as subscale scores of somatic and psychological anxiety symptoms. The HAM-A is a well-validated measure of anxiety, and higher scores indicate increased clinical severity. The Pittsburgh Sleep Quality Index (PSQI) [55, 56] is a well-validated self-report scale that was administered to assess the severity of sleep disturbance and quality. A simplified version of the Traumatic Events Questionnaire (TEQ, modified for civilians) [57] was administered as a self-report questionnaire to assess whether participants had experienced one or more of ten types of traumatic events during their lifetime.

Statistical methods

For study 1, demographic and clinical symptom differences between patients with and without primary GAD were analyzed using Fisher's exact test for categorical outcomes and two-sample *t* tests for continuous outcomes. To examine PACAP differences by diagnosis, we used a generalized linear model (GLM) with circulating PACAP as the dependent variable and diagnosis (GAD vs. HC), sex (male vs. female), and their interaction as predictors. PACAP values were log-transformed to better meet model assumptions of normality and homogeneity of variance. We tested the overall association between diagnosis and circulating PACAP using the main effect of diagnosis, and evaluated sex-specific effects using a priori contrasts of GAD vs. HC within each sex. In a follow-up model, we also included psychotropic medication use (yes/no), anti-inflammatory medication use (yes/no), use of birth control medication (yes/no), and blood draw time (1-h intervals) as covariates to assess the sensitivity of our results to the inclusion of these additional potential confounding factors.

For study 2, sample characteristics between the three genotypes were examined using one-way ANOVAs for continuous and Fisher's exact test for categorical variables. The association of genotype with circulating PACAP (log-transformed), anxiety symptoms (HAM-A total and both subscales), and sleep symptoms were examined using separate GLMs for each outcome. Each GLM included the main effects of genotype and sex and the interaction of sex with genotype as predictors, as well as lifetime PTSD as a covariate. Based on our hypotheses that the CC genotype might be a risk genotype, we performed four tests: (1) difference between the CC genotype compared to the combination of the CG and GG genotypes overall; (2) same genotype comparison in males only; (3) same genotype comparison in females only and (4) difference between the genotype comparison in males vs. females (i.e., if there is a significant interaction between sex and the genotype effect). The *p* values of the three anxiety severity symptom outcomes were adjusted for multiple comparisons using the false discovery rate adjustment.

Modeled means are presented as estimated marginal means (EMM) with 95% confidence limits in the text and in Table 3, and effect sizes are Hedges' *g* (i.e., effect sizes using weighted pooled standard deviations due to unequal group sizes) based on raw means. For circulating PACAP levels, which were analyzed after log-transformation, means are presented as estimated marginal

geometric means (i.e., back-transformed EMMs) and effect sizes are based on raw means of log-transformed data.

RESULTS

Study 1: Circulating PACAP in patients with primary GAD and nonpsychiatric controls

Overall, participants were 35.6 ± 13.5 years old on average and were predominantly female (67%), white (76%), and non-Hispanic (92%). Compared to participants without psychiatric disorders, patients with primary GAD in our sample were slightly younger, more likely to be white, more likely to have experienced one or more types of traumatic events during their lifetime, more likely to be taking antidepressant medication and benzodiazepines, and less likely to be taking anti-inflammatory medication (Table 1). The nonpsychiatric control group included a small minority of participants (12%, $n = 12$) who presented with mild or moderate levels of anxiety symptoms (Supplemental Fig. 1). Although there is no research available to be certain whether antidepressants, benzodiazepines or anti-inflammatory agents affect circulating PACAP, we adjusted for medication use differences in a follow-up analysis to the main model examining overall and sex-specific associations between GAD diagnosis and RIA PACAP.

Overall, there was no main effect of diagnosis (GAD vs. HC: $F(1, 194) = 1.70, p = 0.19, g = 0.25$) or sex (females vs. males: $F(1, 194) = 1.63, p = 0.20, g = 0.20$) on circulating PACAP. However, when examining diagnosis effects within sex subgroups, GAD (vs. HC) was associated with lower concentrations of circulating PACAP in females (13.6, 95% CI: [12.5, 14.8] vs. 15.4 [14.2, 16.7]; $F(1, 194) = 4.10, p = 0.04, g = 0.33$), but not in males (15.4 [13.4, 17.6] vs. 15.6 [14.0, 17.4]; $F(1, 194) = 0.04, p = 0.85, g = 0.06$) (Fig. 1). None of the covariates examined in a follow-up analysis were significantly associated with circulating PACAP (all $p > 0.19$), and the addition of covariates in the main model did not alter the magnitude or significance of the effects observed in the simpler model that included only diagnosis, sex, and their interaction.

Study 2: PACAP levels, anxiety symptoms, and sleep disturbance by PAC1R genotype in patients with primary GAD

The subsample of adults with primary GAD included in study 2 ($n = 87$) were 32.6 ± 11.2 years old on average and were predominantly female (70%), white (90%), and non-Hispanic (94%). Per prior reports in subjects with PTSD, CC genotype is thought to confer greater risk of symptoms [28]. We were unable to detect any differences in demographic variables, the prevalence of PTSD comorbidity, medication use, or clinical symptoms between the three genotypes (all $p > 0.05$; Table 2). Similarly, we were unable to detect any main effects of genotype on circulating PACAP ($p = 0.11$), HAM-A total anxiety severity ($p = 0.30$), HAM-A psychic symptom severity ($p = 0.18$), HAM-A somatic symptom severity ($p = 0.62$), or sleep disturbance ($p = 0.43$), and all specific contrasts of the risk genotype CC compared to the other two genotypes (CG and GG) for each outcome were not significant (all $p > 0.20$; see Table 3).

However, specific contrasts of the sex difference in the CC vs. other genotypes comparison indicated that the CC genotype compared to the other genotypes was associated with higher somatic anxiety severity in females, but lower severity in males (adj $p = 0.03$; Table 3, Fig. 2); we did not detect similar sex differences in HAM-A total scores ($p = 0.37$), HAM-A psychic severity scores ($p = 0.92$), sleep quality ($p = 0.58$; Supplemental Fig. 2), nor in circulating PACAP levels ($p = 0.98$; Supplemental Fig. 3). Furthermore, lifetime diagnoses of comorbid PTSD were associated with a higher severity of sleep disturbance in patients with primary GAD ($p = 0.01$; see PSQI results in Table 3), but were not significantly associated with any other outcome (Table 3). There is some indication that the CC genotype, compared to other genotypes, was associated with poorer sleep quality in women

Table 1. Characteristics of participants with a primary diagnosis of generalized anxiety disorder ($n = 88$) and nonpsychiatric control participants ($n = 110$).

	Participants with GAD ($n = 88$)	Nonpsychiatric controls ($n = 110$)	Group difference ^a
Demographics			
Age, y, M(SD) ^b	32.6 (11.2)	38.0 (14.7)	**
Sex, female, % (n)	70 (62)	65 (71)	
White, % (n) ^b	90 (79)	65 (70)	***
Black, % (n) ^b	2 (2)	18 (19)	***
Asian, % (n) ^b	6 (5)	8 (9)	
Other, % (n) ^b	2 (2)	9 (10)	
Hispanic ethnicity, % (n) ^b	6 (5)	10 (11)	
Clinical characteristics			
HAM-A total scores, M(SD) ^b	19.1 (8.4)	3.3 (3.6)	***
Current PTSD, % (n) ^b	7 (6)	0 (0)	**
Lifetime PTSD, % (n) ^b	14 (12)	0 (0)	***
Lifetime trauma exposure, % (n) ^b	78 (53)	55 (57)	**
Psychotropic medication use			
Any psychotropic medication use, % (n)	42 (37)	14 (15)	***
Antidepressants, % (n)	35 (31)	9 (10)	***
Mood stabilizers, % (n)	2 (2)	0 (0)	
Benzodiazepine, % (n)	20 (18)	5 (6)	**
Antipsychotics, % (n)	2 (2)	0 (0)	
Nonpsychotropic medication use			
Any nonpsychotropic medication use, % (n)	63 (55)	75 (82)	
Birth control (women only), % (n)	23 (14)	24 (17)	
Anti-inflammatory, % (n)	45 (40)	65 (71)	**
Aspirin, % (n)	24 (21)	25 (27)	
Statin, % (n)	6 (5)	5 (5)	
Other chronic medication, % (n)	27 (24)	21 (23)	

^aGroup differences were evaluated with t tests for continuous variables, and Fisher's exact test for categorical variables, where significance is denoted with * for $p < 0.05$, ** for $p < 0.01$, and *** for $p < 0.001$

^bMissing data: age (GAD: 1, HC: 2), race (GAD: 0, HC: 2), ethnicity (GAD: 0, HC: 2), HAM-A (GAD: 6, HC: 9), PTSD diagnosis (GAD: 4, HC: 0), lifetime trauma exposure (GAD: 20, HC: 6)

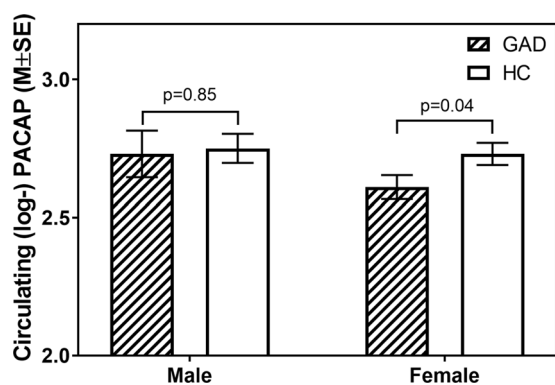


Fig. 1 Mean differences observed in circulating PACAP (log-transformed) by sex and diagnosis.

($g = 0.81$) but we were unable to detect a significant difference between genotype ($p = 0.06$, Table 3).

DISCUSSION

PACAP is posited as an intriguing biomarker for anxiety disorders, with previously published utility for PTSD [28], and here we show

it may likely be implicated in females with GAD as well. However, it is important to note that circulating PACAP levels alone may not be a useful biomarker for individuals with GAD and that additional, complementary measures of the peptidergic system may need to be combined. The differences in PACAP levels between the GAD and HC populations in the current study appear small, which challenge its utility as a diagnostic instrument, though more research is needed to understand if this may have other important clinical significance to identify potential treatment targets or optimal approaches for females with GAD.

Importantly, the results appear to suggest that PACAP levels may vary with expression level and genotype of the PACAP receptor, indicating that there may be a feedback mechanism by which the PAC1R influences circulating PACAP or vice versa [58–61]. The levels of PACAP and PAC1 receptor expression are tightly regulated for homeostasis and in some physiological paradigms, PACAP and the PAC1 receptors have a well-described reciprocal relationship. Marked increases in neural PACAP transcripts and proteins following axotomy and cyclophosphamide-induced cystitis, for example, were correlated with decreased PAC1 receptor transcript expression [62–65]. Hence we speculate that in patients with GAD, who have an elevated stress response even in the setting of minimal external stressors, the perceived experience of consistent stress may lead to alterations in this feedback including enhanced PAC1 receptor expression, which may impinge on peripheral PACAP signaling. Intriguingly, recent preliminary studies have

Table 2. Characteristics of 87 participants with a primary diagnosis of generalized anxiety disorder, split by PAC1R SNP (rs2267735) genotype.

	Genotype CC (n = 18)		Genotype CG (n = 52)		Genotype GG (n = 17)	
	M (SD)	% (n)	M (SD)	% (n)	M (SD)	% (n)
Demographics						
Age, y, M(SD) ^a	33.2 (12.1)		31.8 (11.0)		34.7 (11.5)	
Sex, % (n) female	67 (12)		73 (38)		65 (11)	
White, % (n)	78 (14)		92 (48)		94 (16)	
Black, % (n)	6 (1)		2 (1)		0 (0)	
Asian, % (n)	6 (1)		6 (3)		6 (1)	
Other, % (n)	11 (2)		0 (0)		0 (0)	
Hispanic ethnicity, % (n)	0 (0)		8 (4)		6 (1)	
PTSD comorbidity and trauma exposure						
Current PTSD, % (n) ^a	11 (2)		4 (2)		7 (1)	
Lifetime PTSD, % (n) ^a	22 (4)		12 (6)		7 (1)	
Lifetime trauma exposure, % (n) ^a	91 (10)		76 (31)		73 (11)	
Psychotropic medication use						
Any psychotropic med. use, % (n)	44 (8)		48 (25)		24 (4)	
Antidepressants, % (n)	44 (8)		37 (19)		24 (4)	
Mood stabilizers, % (n)	6 (1)		2 (1)		0 (0)	
Benzodiazepine, % (n)	17 (3)		23 (12)		18 (3)	
Antipsychotics, % (n)	0 (0)		4 (2)		0 (0)	
Nonpsychotropic medication use						
Any nonpsych. med. use, % (n)	50 (9)		62 (32)		76 (13)	
Birth control (women only), % (n)	11 (2)		15 (8)		18 (3)	
Anti-inflammatory, % (n)	44 (8)		46 (24)		41 (7)	
Aspirin, % (n)	17 (3)		27 (14)		18 (3)	
Statin, % (n)	0 (0)		6 (3)		12 (2)	
Other chronic medication, % (n)	17 (3)		27 (14)		35 (6)	
Clinical symptoms						
HAM-A total scores, M(SD) ^a	20.6 (7.3)		19.1 (9.3)		16.5 (5.8)	
HAM-A psychic scores, M(SD) ^a	13.8 (5.3)		12.6 (6.9)		10.5 (3.8)	
HAM-A somatic scores, M(SD) ^a	6.9 (4.6)		6.5 (4.0)		6.0 (3.8)	
PSQI total scores, M(SD) ^a	9.7 (3.0)		7.3 (3.2)		7.8 (4.1)	

Group differences were evaluated with one-way ANOVAs for continuous variables, and exact logistic regressions for categorical variables; no significant differences were detectable (all $p > 0.05$)

^aMissing data: age (CC: 0, CG: 1, GG: 0), PTSD diagnosis (CC: 0, CG: 2, GG: 2), lifetime trauma exposure (CC: 7, CG: 11, GG: 2), HAM-A (CC: 1, CG: 3, GG: 2), PSQI (CC: 6, CG: 17, GG: 3)

suggested that patients with moderate to high levels of anxiety have lower peripheral nerve PACAP content (May et al., unpublished observations). These patterns may be different from PTSD in which both central PACAP and PAC1 receptors appear elevated from chronic or severe traumatic stress [17]. Further investigation into the PACAPergic response to stress in the acute setting may be required to better elucidate the relationship between PAC1R and PACAP in patients with anxiety disorders and perceived high levels of stress.

The rs2267735 SNP (CC allele) has previously been shown to correlate with elevated PTSD symptom severity in females with PTSD [28], and here we find that the same genotype may have opposite associations with somatic anxiety severity in male and female patients with GAD (adj. $p = 0.03$ for CC vs. other difference between males and females). Specifically, we show that females with GAD have lower circulating PACAP than healthy controls, and that females with the CC allele tend to have worse somatic symptoms of anxiety and insomnia than do other females. They also tend to have worse somatic symptoms of anxiety and report higher frequency and intensity of insomnia symptoms than males

with the same genotype. Conversely, there is no difference in circulating PACAP between males with GAD and healthy controls, and males with the CC genotype of PAC1R have relatively mild somatic symptoms and insomnia when compared with males with either GG or CG genotype. This suggests an intriguing possibility that PACAP-related genotypic distinction may offer an important subcategorization of GAD of specific relevance for women, indicating potential similarities of gender specificity for PACAP across GAD and PTSD diagnosis. Further, it is possible that PACAP might be a transdiagnostic marker for somatic hyperarousal type symptoms in females regardless of diagnosis; future research is needed to replicate and extend our finding.

Overall, neural, genetic, and biological findings together suggest that PACAP levels and PAC1R genotype may be sex-specific biomarkers, linked to sensitized stress responding in females, but not males [23, 27, 28, 66]. The PAC1R allele on which we focused has a known SNP in an estrogen response element, representing potential for a sex-based difference, including expression levels related to estrogen cycle [28]. This association with estrogen is of particular interest, given recent data

Table 3. Estimated marginal means of specific effects examined in people with primary generalized anxiety disorder ($n = 87$) in Study 2.

Outcome effect	Estimate [95% CI]	p	adj. p^a	g^c
Circulating PACAP [pM, log-transformed]				
Genotype CC vs. other	0.07 [−0.04, 0.18]	0.20		0.27
Female	−0.07 [−0.17, 0.03]	0.16		−0.33
Genotype CC vs. other in men	0.07 [−0.10, 0.25]	0.42		0.30
Genotype CC vs. other in women	0.07 [−0.06, 0.19]	0.28		0.24
Genotype CC vs. other difference ^b	0.00 [−0.21, 0.22]	0.98		n/a
PTSD lifetime diagnosis	−0.02 [−0.26, 0.23]	0.88		−0.13
Total anxiety symptom severity (HAM-A total scores)				
Genotype CC vs. other	0.58 [−2.02, 3.18]	0.66	0.66	0.26
Female	−0.08 [−2.47, 2.30]	0.94	0.94	−0.11
Genotype CC vs. other in men	−0.95 [−5.29, 3.39]	0.66	0.66	−0.25
Genotype CC vs. other in women	2.11 [−0.77, 4.99]	0.15	0.22	0.53
Genotype CC vs. other difference ^b	−3.06 [−8.26, 2.14]	0.25	0.37	n/a
PTSD lifetime diagnosis	1.21 [−4.45, 6.88]	0.67	0.80	0.18
Psychological anxiety symptom severity (HAM-A psychological scores)				
Genotype CC vs. other	1.00 [−0.91, 2.90]	0.30	0.66	0.28
Female	−0.89 [−2.64, 0.86]	0.31	0.47	−0.31
Genotype CC vs. other in men	1.10 [−2.08, 4.27]	0.49	0.66	0.18
Genotype CC vs. other in women	0.90 [−1.21, 3.00]	0.40	0.40	0.34
Genotype CC vs. other difference ^b	0.20 [−3.61, 4.01]	0.92	0.92	n/a
PTSD lifetime diagnosis	0.89 [−3.26, 5.04]	0.67	0.80	0.08
Somatic anxiety symptom severity (HAM-A somatic scores)				
Genotype CC vs. other	−0.41 [−1.66, 0.84]	0.51	0.66	0.12
Female	0.80 [−0.35, 1.94]	0.17	0.47	0.25
Genotype CC vs. other in men	−2.05 [−4.12, 0.03]	0.05	0.16	−1.00
Genotype CC vs. other in women	1.22 [−0.16, 2.60]	0.08	0.22	0.62
Genotype CC vs. other difference ^b	−3.27 [−5.76, −0.77]	0.01	0.03	n/a
PTSD lifetime diagnosis	0.35 [−2.37, 3.07]	0.80	0.80	0.26
Sleep quality (PSQI global scores)				
Genotype CC vs. other	0.73 [−0.62, 2.08]	0.28		0.66
Female	−0.29 [−1.45, 0.87]	0.62		−0.15
Genotype CC vs. other in men	0.35 [−2.08, 2.79]	0.77		0.07
Genotype CC vs. other in women	1.11 [−0.05, 2.27]	0.06		0.81
Genotype CC vs. other difference ^b	−0.75 [−3.45, 1.94]	0.58		n/a
PTSD lifetime diagnosis	3.24 [0.99, 5.49]	0.01		1.07

Genotypes are PAC1R SNP (rs2267735) genotypes, and effects shown are based on specific contrasts between estimated marginal means estimated within a single generalized linear model for each outcome

^aadj. p = adjusted for false discovery rate among HAM-A total and subscales only

^bDifference refers here to the sex difference in the CC vs. other (CG and GG) genotype effect (i.e., the interaction of gender and genotype effects)

^cHedges' g effect size of group differences based on raw means

supporting the role of estrogen and estrogen receptors in anxiety and PTSD [67–69]. In addition, in animals, central and peripheral PACAP (PACAP38, PACAP27) manipulations have been shown to alter sympathetic outflow, body temperature, breathing, heart rate, and headache [40, 44, 45, 70]. These alterations in sympathetic nervous system outflow due to PACAPergic dysfunction are relevant to GAD-type symptoms, particularly somatic symptoms, such as muscle tension, gastrointestinal upset, sweating, and increased arousal. Although no study to date has examined the specific relationship between somatic symptoms in anxiety disorders and PACAP, a few studies have supported that PACAP may induce somatic symptoms of anxiety in humans, including specifically headaches and altered breathing [71–75]. Thus, our sex-specific finding may reflect a difference in the underlying biology of the disorder related to presenting

symptoms, specifically due to dysfunction in the PACAPergic circuitry of the sympathetic nervous system and may pose a unique treatment target for a subset of patients with primarily somatic experience of anxiety.

Limitations of our study include a relatively low sample size for the number of variables, reducing our capacity to detect statistically significant specific associations. We were particularly underpowered to detect differences in males, because the CC allele was present in far fewer male than female samples. This again indicates a potential biological difference underlying sex-variance in presentation and etiology of anxiety disorders. Furthermore, serum was from samples collected from participants at the time of their presentation to the research clinic, without consideration of time of day, metabolic status, or estrogen cycling in females. Given PACAP involvement in each of these

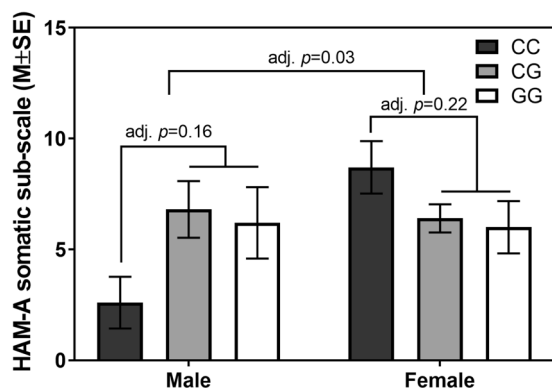


Fig. 2 Means of somatic anxiety symptom severity (HAM-A somatic) by sex and PAC1R SNP (rs2267735) genotype.

homeostatic processes [8, 76, 77], it is prudent to consider these potential variables in future analysis. Finally, there was a high degree of reported lifetime trauma exposure for both the HC and the GAD samples, which may be relevant to circulating PACAP levels and to the interaction of PACAP with the PAC1R over time. Future studies should include assessments of trauma exposure, type, and intensity to improve our understanding of how gene and environment (i.e., trauma exposure) interactions may impact circulating PACAP levels in patients with anxiety disorders. Larger sample sizes (i.e., $n > 150$ or greater) would allow examination of whether PACAP may be mediating the association between genotype and symptom type, and future studies could also investigate the impact of estrogen levels on PACAP. These initial suggestive data support that further study, with larger sample sizes, controlled serum collection, and deeper examination of acute reactivity and chronic somatic hyperarousal, is needed. With additional evidence and elucidation of mechanism, PACAP and its associated functional markers may be useful as a transdiagnostic biomarker for clinical diagnosis and/or treatment of anxiety and stress-related disorders.

CONCLUSION

Here we show that while circulating PACAP is not associated with a main effect of GAD diagnosis, it may be associated with GAD in females only. Additionally among patients with GAD, the risk genotype identified in the PTSD literature (rs2267735, CC genotype) is associated with anxiety symptom severity for females. Specifically, the association between the risk genotype, circulating PACAP, and somatic anxiety severity is stronger among females than males. This indicates a potential underlying biological etiology for sex differences in stress-related anxiety disorders that warrants further study.

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RAR has no competing interests in relation to the manuscript content and no financial disclosures to report. KJR holds patents for use of D-cycloserine to enhance fear extinction, as well as for targeting PAC1, tachykinin 2, and angiotensin to improve the extinction of fear. RAR is also on scientific advisory boards for Janssen and Verily, and has received research funding from Brainsway, Takeda and Alkermes. NMS has no competing interests in relation to the manuscript content. In the past 36 months, NMS

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AUTHOR CONTRIBUTIONS

RAR, KJR, and NMS conceived the present study design and aims. RAR, SNH, EBO, and PLR acquired, managed, and cleaned study data. VM conducted radioimmunoassays and assisted in the interpretation of findings. KJR coordinated genotyping and consulted on the interpretation of data. SSH and RAR devised the data analytic plan and conducted all analyses. RAR, SNH, and SSH drafted the manuscript, and KJR, VM, and NMS provided revisions. All authors reviewed and approved of the final manuscript. We appreciate our reviewers thoughtful input and recommendations for future research directions in the revision process.

ADDITIONAL INFORMATION

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